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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Berinstein et al.

Examiner: Unassigned *TECH CENTER 1600/2900*

Serial No.: 09/693,754

Group Art Unit: 1653

Filed: October 20, 2000

Docket: 13115

For: Method of Inducing and/or Enhancing  
an Immune Response to Tumor Antigens

Kalow & Springut LLP  
488 Madison Avenue, 19<sup>th</sup> Floor  
New York, NY 10022

Dated: February 26, 2001

Assistant Commissioner for Patents  
Washington, DC 20231  
Attention: Office of Initial Patent  
Examination's Customer Service Center

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR or MADAM:

Attached is a copy of the Official Filing Receipt received from the United States Patent and Trademark Office for the above-identified application. Applicants have noted the following errors contained therein and respectfully request a corrected Filing Receipt.

The continuing data should read:

"This application claims benefit of 60/160,879 10/22/1999 and 60/223,325 08/07/2000."

If there are any questions or comments relating to this request, the Examiner is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

Franklin S. Abrams  
Registration No.:43,457  
Attorney for Applicant(s)

Certificate of Mailing Under 37.C.F.R. 1.8  
I hereby declare that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner of Patents, Washington, D.C.  
Date 2/26/01 Name J. Lowell

Telephone No. (212) 813-1600



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/693,754	10/20/2000	1653	840	13115	11	19	1

Kalow & Springut LLP  
488 Madison Avenue 19th Floor  
New York, NY 10022

FILING RECEIPT



\*OC000000005763958\*

Date Mailed: 02/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Neil Berinstein, Toronto, CANADA;  
James Tartaglia, Schenectady, NY;  
Philippe Moingeon, Pommiers, FRANCE;  
Brian Barber, Mississauga, CANADA;

KSB PATENT DEPT.	
SEARCHED	02-20-01
ACTION	Two Filing Receipt
DUE	03/14/2001
J.F.	
INITIAL	

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/160,879 10/22/1999 *and*  
~~WHICH CLAIMS BENEFIT OF 60/223,325 08/07/2000~~

Foreign Applications

If Required, Foreign Filing License Granted 12/16/2000

Title

Method of inducing and/or enhancing an immune response to tumor antigens

Preliminary Class

424

Data entry by : MASON, JAMES

Team : OIPE

Date: 02/14/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**NOT GRANTED**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

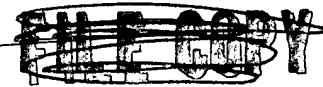


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Bib Data Sheet

CONFIRMATION NO. 7885

SERIAL NUMBER 09/693,754	FILING DATE 10/20/2000 RULE	CLASS 424	GROUP ART UNIT 1653	ATTORNEY DOCKET NO. 13115
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## APPLICANTS

Neil Berinstein, Toronto, CANADA;  
 James Tartaglia, Schenectady, NY;  
 Philippe Moingeon, Pommiers, FRANCE;  
 Brian Barber, Mississauga, CANADA;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/160,879 10/22/1999  
 WHICH CLAIMS BENEFIT OF 60/223,325 08/07/2000

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE

GRANTED \*\* 12/16/2000

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CANADA	SHEETS DRAWING 11	TOTAL CLAIMS 19	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				

## ADDRESS

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 488 Madison Avenue 19th Floor  
 New York ,NY 10022

## TITLE

Method of inducing and/or enhancing an immune response to tumor antigens

FILING FEE RECEIVED 840	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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